





# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sector  
Page 1 of 2  
3

## FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)  
For Design, Provisional, or Utility Applications

PATENT  
APPLICATION

### COMPLETION Under Rule 53(f)

In re PATENT APPLICATION of  
Inventor(s): Antonio Grillo-Lopez

Appln. No.: 09

Series Code ↑

840,872

Serial No. ↑

Atty.Dkt.

P

0280609

M#

2000-30-154A

Client Ref

Filed: April 25, 2001

Title: Intrathecal administration of rituximab for treatment of central nervous system lymphomas

Attn: Application Division

Hon. Commissioner of Patents  
Washington, DC 20231

Date: July 20, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts**  copy attached  not yet received
2.  Signed Declaration attached.  Original  Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and  
"X" box 2A only if top box of the Declaration is X'd and file application copy, or  
"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A.  Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B.  The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3.  Specification originally filed in non-English language; hence verified translation attached of:
  - a.  Abstract
  - b. #  pages of Specification (only spec. & claims)
  - c.  Drawing(s) No of Sheets \_\_\_\_\_
4.  Letter filing formal drawing attached.
5.  Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

| Application No. | Filing Date   | Application No. | Filing Date |
|-----------------|---------------|-----------------|-------------|
| (1) 60/199,365  | 25 April 2000 | (2)             |             |
| (3)             |               | (4)             |             |
| (5)             |               | (6)             |             |

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in \_\_\_\_\_

8.

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1)             |             | (2)             |             |
| (3)             |             | (4)             |             |
| (5)             |             | (6)             |             |

9. \_\_\_\_\_ (No.) Certified copy (copies):  attached;  previously filed (date) \_\_\_\_\_  
in U.S. Application No. / filed on \_\_\_\_\_

10. Small Entity Status   is Not claimed  is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11.  Attached:

12.  Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

|  |  |  | Large/Small Entity   |              | Fee Code                                 |
|--|--|--|--|--------------|--|
| 13. Basic Filing Fee .....   | Design Application<br>Not Design Application |  | \$320/\$160<br>\$710/\$355                                       | +0           | 106/26<br>101/201                        |
| 14. Total Effective Claims   | minus 20 =                                   |  | x \$18/\$9   | +0           | 103/203                                  |
| 15. Independent Claims   | minus 3 =                                    |  | x \$80/\$40  | +0           | 102/202                                  |
| 16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)            |  |  | \$270/\$135  | +0           | 104/204                                  |
| 17. Surcharge for filing Declaration/filing fee late   |  |  | \$130/\$65   | +130         | 105/205                                  |
| 18.  | <b>FILING FEE ENCLOSED =</b>                 |  |  | <b>\$130</b> |  |
| 19. Original due date:   | August 14, 2001                              |  |  |              |  |
| 20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached | (1 mo)<br>(2mos)<br>(3mos)<br>(4mos)         |  | \$110/\$55 =<br>\$390/\$195 =<br>\$890/\$445 =<br>\$1390/\$695 = | +0           | 115/215<br>116/216<br>117/217<br>118/218 |
| 21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee .....   |  |  | \$130  | +0           | 139                                      |
| 22. If "assignment" box 5 is X'd, add recording fee .....  |  |  | \$40   | +0           | 581                                      |
| 23. Petition Fee for   |  |  | \$130  | +0           |  |
| 24.  | <b>TOTAL FEE ENCLOSED =</b>                  |  |  | <b>\$130</b> |  |

Our Deposit Account No. 03-3975

Our Order No. 037003

0280609

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP  
Intellectual Property Group**

1600 Tysons Boulevard

By Atty: Robin L. Teskin

Reg. No. 35,030

McLean, VA 22102

Tel: (703) 905-2000

Atty/Sec: RLT/kmh

Sig: R. L. Teskin

Fax: (703) 905-2500

Tel: (703) 905-2200

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**